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	Version: 5.1
Subject: 837I Companion Guide for E&T Services	Initial Effective Date: 10/17/2003
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The following information is intended to serve only as a companion document to the HIPAA ANSI X12N Implementation Guides. The use of this document is for the purpose of clarification only, and does not contradict any requirements in the X12N Implementation Guides. Specifically, where the implementation guides allow the Trading Partners to make a choice, this document specifies the data elements and values to use in a standard HIPAA transaction. In terms of optional data elements as identified by the implementation guides, DSHS Mental Health Division (MHD) will only accept those optional elements identified below.

Transactions between other trading partners or covered entities are not covered by this trading partner agreement. Transaction content has been identified, however, this companion guide is subject to format revision as HIPAA Transaction requirements change.

**837I FORMAT** – See HIPAA Health Care Claim: Institutional 837 ASC X12N 837 (004010X096), its successors and subsequent HIPAA Transaction Guide Addenda.

- Interchange Control Header
- Functional Group Header
- Transaction Set Header
- Beginning of Hierarchical Transaction
- Transmission Type Identification
  - <1000A> Submitter Name
  - <1000A> Submitter EDI Contact Information
  - <1000B> Receiver Name
    - <2000A> Billing/Pay-To Provider Hierarchical Level
    - <2010AA> Billing Provider Name
    - <2010AA> Billing Provider Address
    - <2010AA> Billing Provider City/State/ZIP
    - <2010AA> Billing Provider Secondary Identification
    - <2010AA> Billing Provider Contact Information
      - <2000B> Subscriber Hierarchical Level
      - <2000B> Subscriber Information
      - <2010BA> Subscriber Name
      - <2010BA> Subscriber Address
      - <2010BA> Subscriber City/State/ZIP Code
      - <2010BA> Subscriber Demographic Information
      - <2010BC> Payer Name
        - <2300> Claim Level Information
        - <2300> Discharge Hour
        - <2300> Statement Dates

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- <2300> Admission Date/Hour
- <2300> Institutional Claim Code
- <2300> Principal, Admitting, E-Code, And Patient Reason For Visit Diagnosis Information
- <2300> Other Diagnosis Information
- <2310A> Attending Physician Name
- <2400> Service Line
- <2400> Institutional Service Line
- Transaction Set Trailer
- Functional Group Trailer
- Interchange Control Trailer

### General Requirements

Item No.	Loop Id – Description	Data Element – Description	IG Page #	DSHS/MHD Requirements
1	General – Compression	General	NA	Compression of files using zip compression software is supported for transmissions between the submitter and DSHS.
2	General – Delimiters Allowed	Data Element Separator	A.4	The Data Element Separator is byte 4 of the ISA. Recommended use is the Asterisk '*'
		ISA16 – Component Element Separator	A.4	Sub Element Separator recommended use is the colon ':' character.
		Segment Terminator	A.4	Recommended to use Line Feed character as Segment Terminator. This character is defined in the ISA segment as the character following the Data Element Separator (ISA16). For display purposes only, throughout this Trading Partner Agreement, the tilde character '~' has been used.
3	General – Files Invalid in Syntax and Structure	All Segments and Elements	NA	Only loops, segments, and data elements valid for the applicable implementation guide will be translated. Non-implementation guide data will be dropped or will not be considered in processing.

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### Notation used

- Periods are used to fill spaces in fixed-width data
- Underlined text indicates hard-coded entries/values that do not change where there is only one choice per the implementation
- Items in {} are suggested mutually agreed to default values between RSNs & MHD
- {{}} Indicate default values for the RSN to MHD transmission
- <1234> indicates Implementation Loop
- [xxx] Indicates an ID field

Item No.	Loop Id – Description	Data Element – Description	IG Page #	DSHS/MHD Requirement Notes
1	<b>Interchange Control Header</b>	<u>ISA*00*.....*00*.....</u> <u>...*ZZ*RSN.....*ZZ*</u> <u>{{400}}.....*YYMM</u> <u>DD*HHMM*U*00401*[I</u> <u>D1]*0*T*~</u>	B.3- B.6	The Interchange Sender ID ISA06 must be the same for all RSN to MHD transactions. The value used is RSN The Interchange Control Number, ISA13 [ID1], is the "Batch Number" and must be identical to the associated Interchange Trailer IEA02 [ID1]. This is a nine character leading zero field. MHD will use the last five digits (including leading zero) and expects sequential numbering starting at 00001 and reset when beyond 99999. ISA15 – Use 'T' for test data, 'P' for production data. The ISA segment is a 105 byte fixed length record.
2	<b>Functional Group Header</b>	<u>GS*HC*{3-digit RSN</u> <u>RUID}*{{400}}*CCYYM</u> <u>MDD*HHMM*[ID2]*X*0</u> <u>04010X096A1~</u>	B.8- B.9 Ad. 48-49	The data interchange control number GS06 [ID2] in this header must be identical to the same data element in the associated functional group trailer, GE02 [ID2].
3	<b>Transaction Set Header</b>	<u>ST*837*[ID3]~</u>	56	The Transaction Set Control Numbers [ID3] in ST02 and SE02 must be identical.
4	<b>Beginning of Hierarchical Transaction</b>	<u>BHT*0019*00*[ID4]*C</u> <u>CYYMMDD*HHMM*[R</u> <u>P]~</u>	57-59	
5	<b>Transmission Type Identification</b>	<u>REF*87*004010X096A</u> <u>1~</u>	60 Ad.11	The value of REF02 changes depending on whether the transaction is being piloted or in production mode. See Implementation Guide and Addenda for details.
6	<b>Submitter Name</b> <b>&lt;1000A&gt;</b>	<u>NM1*41*2*{2-char</u> <u>RSN ID eg. PI for</u> <u>Pierce}*****46*{3-digit</u> <u>RSN RUID}~</u>	61-63	NM108 refers to ETIN as established by TPA. Suggestion is to have the ETIN be the 3-digit RUID <ul style="list-style-type: none"> <li>• NM103 - Use 2-character RSN ID, e.g., PI for Pierce, NS for North Sound, etc.</li> <li>• NM109 - use 3-digit RSN ID</li> </ul>

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7	<b>Submitter EDI Contact Information &lt;1000A&gt;</b>	<u>PER</u> *IC*{IT Contact Person at RSN}* <u>TE</u> *{Ph# For It Contact Person at RSN}~	64-66	MHD wants IT Contact & Phone at RSN.
8	<b>Receiver Name &lt;1000B&gt;</b>	<u>NM1</u> *40*2*{{MHD}}**** <u>*46</u> *{{400}}~	67-68	NM108 refers to ETIN as established by TPA. Suggestion is to have the ETIN be the 3-digit RUID
9	<b>Billing/Pay-To Provider Hierarchical Level &lt;2000A&gt;</b>	<u>HL</u> *1**20*1~	69-70	
10	<b>Billing Provider Name &lt;2010AA&gt;</b>	<u>NM1</u> *85*2*{E&TName} *****24*{EIN of E&T}~	76-78	NM103 = E&T Name.
11	<b>Billing Provider Address &lt;2010AA&gt;</b>	<u>N3</u> *AddressLine1*AddressLine2~	79	
12	<b>Billing Provider City/State/ZIP &lt;2010AA&gt;</b>	<u>N4</u> *City*State*ZIP~	80-81	
13	<b>Billing Provider Secondary Identification &lt;2010AA&gt;</b>	<u>REF</u> *1J*{E&T RUID}~	82-84	
14	<b>Billing Provider Contact Information &lt;2010AA&gt;</b>	<u>PER</u> *IC*{Billing E&T IS Contact Name}* <u>TE</u> *{Phone Number of contact}~	87-90	
15	<b>Subscriber</b>	<u>HL</u> *[ID From	99-	

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	<b>Hierarchical Level</b> <2000B>	Sender][Parent ID]*22*{0}*~	100	
16	<b>Subscriber Information</b> <2000B>	SBR*{{P}}*18*{RSN Unique Consumer ID}******{{ZZ}}~	101-105	Use "P" for SBR01. SBR03 contains RSN Unique Consumer ID so do not use SBR04.
17	<b>Subscriber Name</b> <2010BA>	NM1*IL*1*LastName*FirstName*MiddleNameIfKnown**SuffixIfKnown*MlOrZZ*('PIC' if Medicaid, 'Unknown' if not Medicaid)~	108-111	MHD recommends using MI only. ZZ may have transaction problems, as there may be expectation for the universal identifier in some translators.
18	<b>Subscriber Address</b> <2010BA>	N3*Address1*Address2IfNecessary~	112	N301 - If client is homeless or address is unknown, use 'Unknown'
19	<b>Subscriber City/State/ZIP Code</b> <2010BA>	N4*CityName*StateProvinceCd*PostalCode*CountryCodeIfOutsideUS~	113-114	N4 <2010BA> - if client is homeless or address unknown in N3 <2010BA>, in N4 use City, State & ZIP of service provider or client
20	<b>Subscriber Demographic Information</b> <2010BA>	DMG*D8*DOB*Gender~	115-116	DMG <2010BA> - Default if DOB is unknown, CCYY0101 - use January 1st for month & day and best guess for year.
21	<b>Payer Name</b> <2010BC>	NM1*PR*2*{{MHD}}*P*{{400}}~	126-128	
22	<b>Claim Information</b> <2300>	CLM*ClaimSubmitIdentifier*{{0}}***{{56}}:A:ClaimFrequencyTypeCode*{{Y}}*{{A}}*{{Y}}*ROICode*****Yes/No Resp Code~	157-164 Ad. 16	CLM <2300> - <ul style="list-style-type: none"> <li>Claim Submit Identifier is understood to be what is sent from the provider. Per implementation guide recommendations this value must be a unique number.</li> <li>Claim Frequency Type Code <ul style="list-style-type: none"> <li>1-Original = Add</li> <li>7-Replacement = Change</li> <li>8-Void = Delete</li> </ul> </li> <li>Original Reference Number (ICN/DCN) - It is the intent that RSNs &amp; MHD will not change the Claim Number. To that end, this part of the loop is not necessary for our purposes.</li> </ul>

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				<ul style="list-style-type: none"> <li>• EPSDT Referral (new segment added) - Applicable to medical physicians ordering EPSDT referrals to Mental Health. EPSDT Services are at the &lt;2400&gt; level.</li> <li>• ROICode - Recommendation for providers to review page 161 and consider changing business practices to attach a section 12 &amp; 13 of HCFA 1500 related to informed consent to get informed signature as a part of the intake process. If informed consent obtained, recommended to use 'I' on CLM09 and 'B' on CLM10.</li> </ul>
23	<b>Discharge Hour &lt;2300&gt;</b> (Situational)	<u>DTP*096*TM*HHMM~</u>	165-166	
24	<b>Statement Dates &lt;2300&gt;</b>	<u>DTP*434*DateTimePeriodFormatQualifier*DateTimePeriod~</u>	167-168	
25	<b>Admission Date/Hour &lt;2300&gt;</b>	<u>DTP*435*DT*CCYYMMDDHHMM~</u>	169-170	
26	<b>Institutional Claim Code &lt;2300&gt;</b>	<u>CL1*AdmissionTypeCode*AdmissionSourceCode*PatientStatusCode~</u>	171-172	CL1 <2300> - Admission Source Code – Use code 8 for Involuntary Admission Code 8 is court or law enforcement admission. Code 2 is Voluntary Admission
27	<b>Principal, Admitting, E-Code And Patient Reason For Visit Diagnosis Information &lt;2300&gt;</b>	<u>HI*BK:ICD-9*_{BJ}:ICD-9~</u>	227-229 Ad. 19	
28	<b>Other Diagnosis Information &lt;2300&gt;</b> (Situational)	<u>HI*BF:ICD-9*BF:ICD-9*BF:ICD-9*BF:ICD-9*BF:ICD-9*BF:ICD-9*BF:ICD-9*BF:ICD-9~</u>	232-241	
29	<b>Attending</b>	<u>NM1*71*EntityTypeQualifie</u>	321-	<2310A> Attending Physician - Put either the

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	<b>Physician Name &lt;2310A&gt;</b>	r_NameLastOrOrganization Name_NameFirst(if applicable)_NameMiddle(if applicable)_ NameSuffix(if Applicable)_IdentificationCo deQualifier_IdentificationCo de_	323 Ad. 20	attending physician or the E&T name for NM103 depending on the value used in NM102. <ul style="list-style-type: none"> <li>NM108 - Recommend using Code 24 - Employer's Identification Number regardless of what chose in NM102.</li> </ul>
30	<b>Service Line Number &lt;2400&gt;</b>	<u>LX</u> *1_	444	
31	<b>Institutional Service Line &lt;2400&gt;</b>	<u>SV2</u> *ProductServiceID**{{0}}*_{{DA}}*_NumberOfDays*_UnitRate_	445- 449 Ad. 24-25	<2400> Institutional Service Line - <ul style="list-style-type: none"> <li>SV201 - Recommended value '0124' for Free Standing E&amp;T</li> <li>SV201-3 through SV201-7 - Procedure Modifiers are not to be acted upon in the RSN to MHD transaction.</li> </ul>
32	<b>Transaction Set Trailer</b>	<u>SE</u> *[#ofIncSegments]*[ID3]_	503	The Transaction Set Control Numbers [ID3] in ST02 and SE02 must be identical.
33	<b>Functional Group Trailer</b>	<u>GE</u> *[#ofTSIncluded]*[ID2]_	B10	The data interchange control number GS06 [ID2] in this header must be identical to the same data element in the associated functional group trailer, GE02 [ID2].
34	<b>Interchange Control Trailer</b>	<u>IEA</u> *[#ofFuncGroups]*[ID1]_	B.7	The Interchange Control Number, ISA13 [ID1], must be identical to the associated Interchange Trailer IEA02 [ID1].

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**Loops not to be acted upon in the RSN to MHD 837 Institutional Transaction.**

<b>Loop</b>	<b>IG Page Number</b>	<b>Loop</b>	<b>IG Page Number</b>
<2000A>	71-75	<2310E>	349-358
<2010AA>	85-86	<2320>	359-399
<2010AB>	91-98	<2330A>	400-409
<2010BA>	117-120	<2330B>	410-419
<2010BB>	121-125	<2330C>	420-423
<2010BC>	129-133	<2330D>	424-427
<2010BD>	134-138	<2330E>	428-431
<2000C>	139-144	<2330F>	432-435
<2010CA>	145-156	<2330G>	436-439
<2300>	173-226	<2330H>	440-443
<2300>	230-231	<2400>	450-461
<2300>	242-313	<2420A>	462-468
<2305>	314-320	<2420B>	469-475
<2310A>	324-330	<2420C>	476-482
<2310B>	331-334	<2420D>	483-489
<2310C>	335-341	<2430>	490-502
<2310D>	342-348		